

BUSINESS CONTINUITY NOTICE TO CLIENTS

As a matter of Best Practice and regulatory requirements, Maxwell Noll maintains a comprehensive Business Continuity Plan in the event of a significant business disruption (“SBD”), whether the event is local, regional or national.

An SBD may be caused by a catastrophic weather or seismic event, fire, flood, pandemic illness, or the death or incapacity of one or more of the firm’s principals.

Continuation of business operations is not dependent on our physical location, however, depending on the severity of the disruption, there may be a period of time when we cannot operate due to the unavailability of telecommunications services. Once communications are restored, we are able to route all calls to our main number and extensions to wherever we are, even if it is out of the immediate area.

Clients may contact TD Ameritrade directly for assistance at 866.468.6268. TDA has the capacity to route calls to any of their locations across the country. If online access is available, clients may visit <https://www.advisorclient.com> for additional information.

In any event, we will strive to restore operations as quickly as possible.

We all hope this is a plan we will never need to use.